

Over The Counter Form



Investments | Fixed Income | Real Estate | Equities | Private Equity

Please use block letters to complete the form.

SUBSCRIPTION

I/We

hereby request to ☐ sell ☐ buy shares of Cytonn Investments Management at a price of:

☐ Recommended price (KShs. 60 per share)

☐ Other price (state your price)

Order Type: ☐ Good Till Filled / Cancelled ☐ Good till Expiry. Expiry Date:

Fill Type: ☐ Fill All or None ☐ Partial Filling Accepted

Signature Date

CONTACT DETAILS

Email

Phone number

Postal address Postal code

City/Town Country

Are you a current client? ☐ Yes ☐ No (If **No**, kindly provide your copy of ID and PIN Certificate)

BANK DETAILS

For a Sell Order please provide Bank Details:

Account Name

Account Number

Bank Branch

EMAIL INDEMNITY

Would you like to provide us with an email indemnity, to enable us receive your instructions via email? ☐ Yes ☐ No

If **Yes**, please indicate your preferred email address

Signature Date

OTC BANK ACCOUNT DETAILS

Account Name: Cytonn Investments Management Limited - OTC

Account No.: KES - 0105040476704

Bank: Standard Chartered Bank Kenya **Branch:** Chiromo

SWIFT: SCBLKENXXXX

FINANCIAL ADVISOR (FA)

Full name of FA

Signature

Date

NOTE: No transfer of the above shares can be registered unless accompanied by an authorized Share Certificate.

FOR OFFICIAL USE ONLY

Processed by

Signature

Date

Approved by

Signature

Date

TERMS AND CONDITIONS

- a. **Governing Terms:** By accepting this instrument, the purchaser/seller agrees to be bound by these Terms and Conditions
- b. **Prices:** The Purchase/Sale of shares shall be at the price prescribed by the investor to Cytonn Investments Management Limited ('Cytonn Investments').
- c. **Matching of Orders:** Shares shall be allocated on a matching basis and where need be on a pro-rata basis.
- d. **Payment:** Each order shall be considered separately, hence all payments shall be made on order to order basis in a manner prescribed by Cytonn Investments.
- e. **Cancellation:** A willing buyer/seller may by prior written notice cancel an order. However, the willing buyer/seller will have to bear the costs of cancellation in case a matching order had been met.
- f. **Costs:** A willing buyer/seller shall bear the cost involved in registration/deregistration of shares as may be prescribed by Cytonn Investments.
- g. **Commission:** The Seller of Shares shall pay commission to the financial advisor of the buyer, based on the below criteria;
 - (i) 2.0% for Retained Financial Advisors
 - (ii) 4.0% for Non-Retained & Independent Financial Advisors
- h. **Dispute Resolution:** Any Dispute arising out of Purchase/Sale of shares shall be settled amicably by negotiation between the parties and such agreement shall be confirmed in writing signed by all parties to the dispute. If the dispute remains unresolved the matter shall be settled in line with the Arbitration Act of Kenya, applicable or any other Statute that may be set to replace the already existing one.
- i. Cytonn Investments reserves the right to determine the total number of shares to be sold/purchased through Private Placement at any given time by a willing seller/buyer.